



Due Date: February 26, 2003

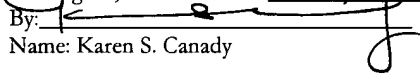
#19  
Rec'd  
3/12/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Ulrich Schubert et al. Examiner: Mary Mosher  
Serial No.: 09/913,927 Group Art Unit: 1648  
Filed: January 14, 2002 Docket: G&C 151.2-US-WO  
Title: SYNTHETIC PEPTIDE OF REGULATORY VIRUS PROTEIN R (VPR) OF  
HUMAN IMMUNODEFICIENCY VIRUS TYPE 1 (HIV-1) AND THE  
UTILIZATION THEREOF

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on February 26, 2003.

By:   
Name: Karen S. Canady

**STATEMENT REGARDING SEQUENCE LISTING**  
**UNDER 37 C.F.R. §§ 1.821-1.825**

U.S. Patent and Trademark Office  
Box Sequence  
P.O. Box 2327  
Arlington, VA 22202

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TECH CENTER 1600/2900

Dear Sir:

In response to the Communication dated February 11, 2003, attached is a Sequence Listing in Computer-readable format. Applicants hereby state that the computer readable copy of the Sequence Listing submitted herewith in connection with the above-identified patent application is the same as the Sequence Listing filed on July 5, 2002. The Sequence Listing submitted herewith does not include new

matter or matter which goes beyond the application as originally filed.

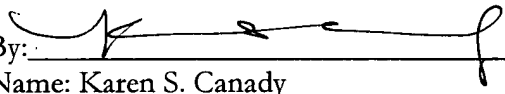
Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicant(s)

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: February 26, 2003

KSC/sjm  
G&C 151.2-US-WO

By:   
Name: Karen S. Canady  
Reg. No.: 39,927



BIOLOGY  
SYSTEMS  
BRANCH



1648

17/17

## CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/913,927B  
Filing Date: 01/14/2002  
Date Processed by STIC: 12/10/02

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STIC Contact: Mark Spencer, 703-308-4212

### Nature of Problem:

The CRF (was):

- ☒ (circle one) Damaged or Unreadable (for Unreadable, see attached)  
☐ Blank (no files on CRF) (see attached)  
☐ Empty file (filename present, but no bytes in file) (see attached)  
☐ Virus-infected. Virus name: \_\_\_\_\_ The STIC will not process the CRF.  
☐ Not saved in ASCII text  
☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.  
☐ Did not contain a Sequence Listing. (see attached sample)  
☐ Other: \_\_\_\_\_

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**PLEASE USE THE CHECKER VERSION 3.1 PROGRAM TO REDUCE ERRORS.  
SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:  
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202  
Or  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service , or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

913, 927B

C:\WINDOWS>A:

Not ready reading drive A  
Abort, Retry, Fail?F

Not ready reading drive A  
Abort, Retry, Fail?F  
Current drive is no longer valid>

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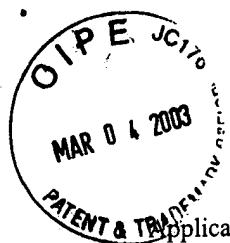
On-screen message when STIC pc  
attempted to read file.

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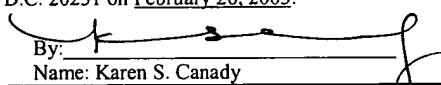
1648



Due Date: March 13, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ulrich Schubert et al. Examiner: Mary Mosher  
Serial No.: 09/913,927 Group Art Unit: 1648  
Filed: January 14, 2002 Docket: G&C 151.2-US-WO  
Title: SYNTHETIC PEPTIDE OF REGULATORY VIRUS PROTEIN R (VPR) OF HUMAN  
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Arlington, VA 22202

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Dear Sir:

We are transmitting herewith the attached:

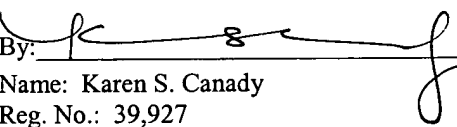
- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Third Copy of Computer readable form of SEQUENCE LISTING as filed on July 5, 2002.
- ☒ Copy of CRF Problem Report.
- ☒ Statement Regarding Sequence Listing Under 37 C.F.R. §§ 1.821-1.825.
- ☒ Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

CUSTOMER NUMBER 22462

GATES & COOPER LLP  
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Los Angeles, CA 90045  
(310) 641-8797

By:   
Name: Karen S. Canady  
Reg. No.: 39,927  
KSC/sjm

(PTO TRANSMITTAL - GENERAL)